SEC Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
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Washington, D.C. 20549

OMB Number: 3235-0362 Estimated average burden

Form 3 Holdings Reported.	
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FORM 5

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

hours per response: 1.0

X Form 4 Transactions Reported.	ed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	
1. Name and Address of Reporting Person [*] MERTES JAMES L	2. Issuer Name and Ticker or Trading Symbol <u>AMERIGON INC</u> [ARGN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify
(Last) (First) (Middle) 5462 IRWINDALE AVENUE	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2012	X Onicer (give nue onier (specify below) below) VP Ops/Eng/Quality
(Street) IRWINDALE CA 91706	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting
(City) (State) (Zip)		Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Dav/Year)	3. Transaction Code (Instr.	4. Securities Acq Of (D) (Instr. 3, 4		or Disposed	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		(Monthinday/real)	6)	Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)		
Common Stock	06/10/2008		M4 ⁽¹⁾	6,000	A	\$3.45	21,530	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					and (A)	5) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Purchase Common Stock	\$3.45	06/10/2008		4M ⁽¹⁾		6,000	06/15/1998	06/15/2008	Common Stock	6,000	\$0	0 ⁽²⁾	D	

Explanation of Responses:

1. The reporting person recently determined that he inadvertently failed to file a Form 4 with respect to the exercise of stock options on June 10, 2008. The shares reported in column 5 of Table I represent the number of shares of Common Stock owned by the reporting person as of the date of the filing of this Form 5.

2. The amount represents the total number of derivative securities Benefically Owned of the class shown (i.e. the same exercise price and expiration date). The Reporting Person owns a total of 203,000 Options to Purchase Common Stock of varying classes (i.e. varying exercise prices and expiration dates).

/s/ James L. Mertes

03/29/2012

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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