SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Potesta Mark A	2. Date of Event Requiring Statem (Month/Day/Year) 11/11/2018	ent (	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GENTHERM Inc</u> [ THRM ]					
(Last) (First) (Middle) 21680 HAGGERTY ROAD			4. Relationship of Reporting Perso Check all applicable) Director	on(s) to Issue 10% Owne	(Moi	5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street)	-		X Officer (give title below)	Other (spe below)	App	icable Line)	t/Group Filing (Check	
NORTHVILLE MI 48167	_		Sr. VP and C	10			y One Reporting Person y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect (Instr. 5)	ct (D)   (Instr	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock			1,366 <sup>(1)</sup>	D				
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration Da (Month/Day/Y	te	3. Title and Amount of Securi Underlying Derivative Securit		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
Explanation of Responses:	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		

1. The shares represent Restricted Stock Units issued under the 2013 Equity Incentive Plan that have been granted to the Reporting Person. The shares vest in three portions; 456 on November 12, 2019, 455 on November 12, 2020 and 455 on November 12, 2021.

**Remarks:** 

/s/ Mark A. Potesta

11/15/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.